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Attorney Docket No. 09792909-4468

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Shuji Goto et al

Application No. 09/504,813

Filed: February 16, 2000

For: SOLID ELECTROLYTE BATTERY  
AND MANUFACTURING  
METHOD THEREFOR

Group Art Unit: 1746

Examiner: Jonathan Crepeau

CERTIFICATE OF MAILING

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Suzarah A. Maguigad

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT AFTER MAILING DATE OF FINAL  
ACTION AND BEFORE PAYMENT OF ISSUE FEE (37 C.F.R. § 1.97(d))**

Dear Sir:

In accordance with the provisions of 37 C.F.R. §1.56, Applicants request that the references identified on the attached PTO/SB/08a form be made of official record in the above-identified application and considered by the Examiner. Copies of the identified references are enclosed herewith in accordance with 37 C.F.R. §1.98.

08/10/2004 CNGUYEN 00000036 09504813

01 FC:1806

180.00 DP

I. SUBMITTED US PATENT REFERENCES

<u>Document No.</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
A1	5,837,015	US	November 17, 1998
A2	5,558,957	US	September 24, 1996
A3	5,552,239	US	September 3, 1996
A4	5,296,318	US	March 22, 1994
A5	4,714,665	US	December 22, 1987

II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Document No.</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
B1	EP 0 893 836	EP	January 27, 1999
B2	JP 11 086848	JP	March 30, 1999
B3	EP 0 825 662	EP	February 25, 1998
B4	JP 09 022737	JP	January 21, 1997
B5	EP 0 390 557	EP	October 3, 1990

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. §1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

## II. EXPLANATION OF RELEVANCE

The references A1-A5 and B1-B5 were first cited in a communication from the European Patent Office dated May 17, 2004, in a counterpart foreign application (European Application No. 00102766.3, filed February 10, 2000) not more than three months prior to the filing of this information disclosure statement, pursuant to 37 C.F.R. §1.97(e)(1). A copy of the European Search Report is submitted herewith.

## III. CERTIFICATION UNDER 37 C.F.R. §1.97(e)(1)

Applicants submit that each item of information contained in this information disclosure statement was first cited in a communication from a Patent Office in counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Applicants enclose herewith credit card payment form PTO-2038 in the amount of \$180.00 for the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under §1.97(d)(2).

The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in a required fee to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: August 3, 2004

By: 

David Rozenblat (47,044)

Registration No. 32,919

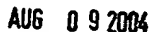
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Approved for use through 07/31/2006. OMB 0651-0031

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>Application Number</b>	09/504,813
<b>Filing Date</b>	February 16, 2000
<b>First Named Inventor</b>	Shuji Goto
<b>Group Art Unit</b>	1746
<b>Examiner Name</b>	Jonathan Crepeau
<b>Attorney Docket Number</b>	09792909-4468

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code <sup>2</sup> (if known)			
	A1	US-5,837,015	11-17-1998	Venugopal	
	A2	US-5,558,957	09-24-1996	Datta	
	A3	US-5,552,239	09-03-1996	Gozdz	
	A4	US-5,296,318	03-22-1994	Gozdz	
	A5	US-4,714,665	12-22-1987	Siegel	

[illegible]

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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